



National
Endowment
for the
Humanities

Division of
Fellowships
and
Seminars

Guidelines and
Application Forms

Application Deadline:
June 1, 1986

NEH Fellowships

University Teachers

College Teachers and
Independent Scholars

Anniversary and
Commemorative Initiatives

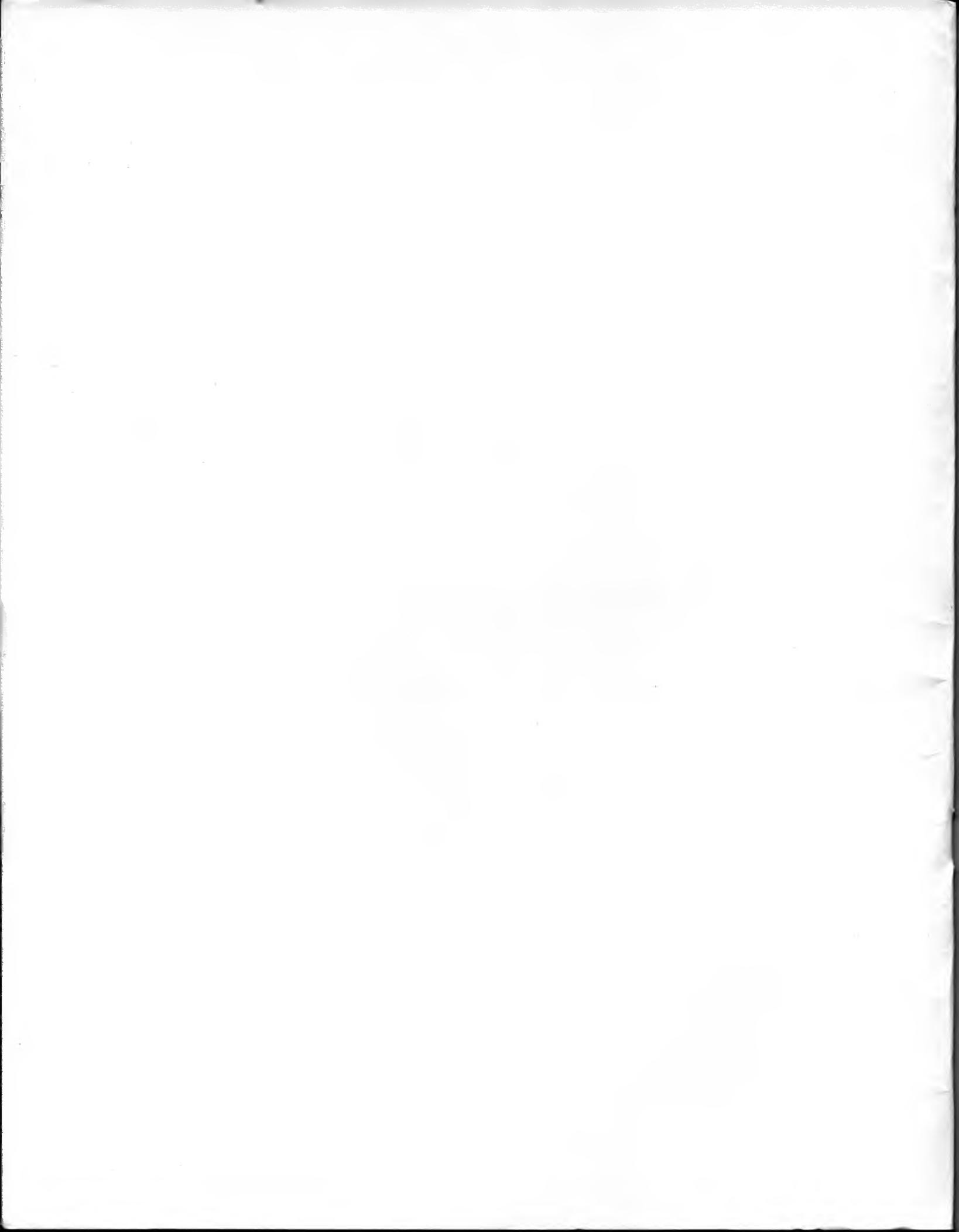


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Equal Opportunity Statement

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Tisbury
Equal Opportunity Statement

INTRODUCTORY INFORMATION

Application and Award Schedule

Program Year: 1987-88 NEH Fellowships
Application Deadline: June 1, 1986
Notification of Awards: Mid-December, 1986
Beginning Date for Tenure of Awards: As early as January 1, 1987,
and as late as the start of
spring term of 1987-88
academic year

Privacy Act

A statement about the Privacy Act is given on p. 17, preceding the instructions for completing the NEH application cover sheet. However, the provisions of the act apply to all information and materials solicited in connection with an application for an NEH Fellowship and not only to the cover sheet.

Equal Opportunity

The Code of Federal Regulations, Title 45, Part 1110, implements provisions of Title VI of the Civil Rights Act of 1964, and, along with Title IX of the Education Amendments of 1972, Section 504 of the Rehabilitation Act of 1973, and the Age Discrimination Act of 1975, provides that the National Endowment for the Humanities is responsible for insuring compliance with and enforcement of public laws prohibiting discrimination because of race, color, national origin, sex, handicap, and age in programs and activities receiving federal assistance from the National Endowment for the Humanities. Any person who believes he or she has been discriminated against in any program, activity, or facility receiving federal assistance from the Endowment should write immediately to the Director, Office of Equal Opportunity, National Endowment for the Humanities, 1100 Pennsylvania Avenue, N.W., Washington, D.C. 20506.

Note

If a proposed project relates to American Indians, Aleuts, Eskimos, or native Hawaiian people and artifacts, an applicant should obtain from the Endowment a copy of its code of ethics concerning native Americans. The code establishes certain standards of conduct in research, publication, and public programs involving native American peoples.

GENERAL INFORMATION

The National Endowment for the Humanities

The National Endowment for the Humanities is an independent grant-making agency established by Congress in 1965 to support research, education, and public projects in the humanities.

The Endowment supports work in the humanities through programs administered by the Divisions of Fellowships and Seminars, Research Programs, Education Programs, General Programs, and State Programs, and the Offices of Challenge Grants and Preservation Programs.

The Humanities

In the act that established the Endowment, the term humanities includes, but is not limited to, the study of the following disciplines: history; philosophy; languages; linguistics; literature; archaeology; jurisprudence; the history, theory, and criticism of the arts; ethics; comparative religion; and those aspects of the social sciences that employ historical or philosophical approaches.

Work in the creative or performing arts--such as writing of fiction or poetry, painting, sculpture, musical composition or performance, acting, directing, and dance--is not eligible for support by the National Endowment for the Humanities. Persons interested in support in these areas should write or call the National Endowment for the Arts. Critical, historical, and theoretical studies of the arts, however, are eligible for NEH support.

Studies in the social and natural sciences that are historical or philosophical in approach, or that attempt to cast light on questions of interpretation or criticism traditionally in the humanities, are also eligible for NEH support.

Endowment Fellowships and Summer Stipends

Through its fellowship and summer stipend programs, NEH encourages and supports advanced study and research in disciplines of the humanities by people of diverse interests, backgrounds, and circumstances: senior scholars; junior scholars; writers and scholars working in the humanities either independently or in other than academic institutions; faculty members at institutions with extensive Ph.D. programs in the humanities; and faculty members in two-year, four-year, and five-year colleges and universities.

Projects supported by Endowment fellowships and summer stipends may contribute to scholarly knowledge, to the conception and substance of individual courses in the humanities, or to the general public's understanding of the humanities. Projects may address broad topics or consist of study and research in a specialized field.

Two Endowment divisions support scholarly research: the Division of Fellowships and Seminars and the Division of Research Programs. Normally, applications for support of individual study and research should be submitted to the Division of Fellowships and Seminars; applications involving the collaboration of two or more scholars should be submitted to the Division of Research Programs. There are some exceptional types of individual projects for which applications should be submitted to the Division of Research Programs if support is desired for more than two months, notably archaeological scholarship and the production of reference works and scholarly tools, such as bibliographies, certain editing projects and translations, dictionaries, atlases, and encyclopedias. Prospective applicants should consult with staff members of the Division of Research Programs and the Division of Fellowships and Seminars about the appropriateness of their applications to these divisions.

Problems pertinent to the humanities content of an applicant's own teaching field are an appropriate part of fellowship study. However, applications for surveys of courses and programs in other institutions and for the preparation of institutional curricula are not. Inquiries about the possibility of Endowment support for institutional projects to improve curricula or teaching of the humanities should be directed to the Endowment's Division of Education Programs. Also ineligible for NEH Fellowships are applications to improve the methods of courses, to carry out empirical educational research projects, or to examine theories of teaching and learning that lack substantial content in a discipline of the humanities.

NEH FELLOWSHIPS

NEH Fellowship Programs

NEH Fellowships provide opportunities for individuals to pursue independent study and research that will enhance their capacities as teachers, scholars, or interpreters of the humanities and that will enable them to make significant contributions to thought and knowledge in the humanities. Fellowships enable people to devote extended periods of uninterrupted time to investigation, reflection, and often writing. The programs are intended for a range of people, from those who have made significant contributions to the humanities to those who stand at the beginning of their careers. Projects, too, may cover a range of activities from general study to specialized research.

The NEH fellowship programs serve scholars in different circumstances:

Fellowships for University Teachers are for faculty members of departments and programs in universities that grant the Ph.D. and faculty members of post-graduate professional schools.

Fellowships for College Teachers and Independent Scholars are for faculty members of two-year, four-year, and five-year colleges, faculty members of departments and programs in universities that do not grant the Ph.D., individuals affiliated with institutions other than colleges and universities, and scholars and writers working independently.

These programs modify the former programs of Fellowships for Independent Study and Research and Fellowships for College Teachers. The program to which an applicant may apply depends solely upon the applicant's institutional affiliation or circumstances. However, there is no requirement in either program that work proposed for fellowship support be related to the applicant's teaching interests.

Anniversary and Commemorative Initiatives

The National Endowment for the Humanities announces the following initiatives to provide fellowship opportunities in special areas through its regular fellowship programs. Applications in the areas of these initiatives should be submitted directly to the programs of either Fellowships for University Teachers or Fellowships for College Teachers and Independent Scholars.

The Endowment is commemorating its twentieth anniversary by emphasizing projects that fall under two general headings: Understanding America and Understanding Other Nations. The purpose of these initiatives is to encourage, for the benefit of scholars, students, and the general public, the teaching and learning of the history and culture of the United States and to promote the study of foreign languages and cultures among Americans.

Understanding America: As part of this initiative, the Endowment has established a new category of fellowship support called The Foundations of American Society. Applications are welcome for study and research on the history, culture, and principles of the founding of this country. Proposals may deal directly with the events and achievements of the founding period or the works of literature, art, philosophy, and politics that were produced during it; they may treat later events, achievements, and works that have resulted or developed from the founding period or that reflect or respond to its concerns and principles; or they may explore events, periods, movements, works, genres, or schools of thought that are significant for understanding the full course or the rich and unique character of American history and the American experience.

In observance of the 200th anniversary of the U.S. Constitution, a special competition within this initiative for Constitutional Fellowships encourages investigation of the historical, political, philosophical, and cultural questions raised by the Constitution and the founding period. Applicants may propose study and research on the philosophical, literary, historical, or political origins of the

Constitution; the relation of the structure of the Constitution to American political, social, or intellectual culture; or the connection between self-government and the purposes of human life. Proposals may address the history of the founding period, constitutional principles, comparative constitutional government, individual rights, the character of democracy, American federalism, American political institutions, constitutional interpretation, or any other topic that would be appropriate to the observation of the bicentennial of the U.S. Constitution.

The initiative on Understanding America also seeks to encourage the teaching and learning of American history and culture in the nation's schools. In connection with this aspect of the initiative, fellowship applicants might propose to prepare special editions, selective collections, and anthologies of major American writings that could be used to introduce these works to students. Applicants might propose to prepare textbooks and comparable course materials that would integrate the best of recent scholarship on particular groups--immigrants, minorities, and women--into the more traditional offerings of American history to show the full sweep of American history.

Understanding Other Nations: Through this initiative the Endowment seeks to enhance understanding of the history and thought of other cultures by promoting the study of foreign languages among Americans. Particularly welcome are applications that use major humanistic texts as the primary means of access to the minds and thoughts of foreign cultures. For example, applicants might propose to investigate and interpret significant foreign texts.

The central part of this initiative is the teaching of foreign languages. Proposals are welcome that would integrate the study of historical, philosophical, and literary texts of other nations with the study of their language. For example, applicants might propose to prepare editions of seminal texts in foreign languages for use in schools or to prepare special editions, selected collections, and anthologies that are modified and annotated as appropriate for beginning or intermediate students of the language. For students and classes whose goal is not advanced proficiency in the language, applicants might propose to translate basic texts of the literature, philosophy, politics, and history of a foreign culture or to prepare special editions, selected collections, and anthologies of basic texts in translation.

Columbian Quincentenary: To commemorate the 500th anniversary of Christopher Columbus's first voyage of discovery to the New World, the Endowment wishes to encourage study and research on a variety of topics concerning that event and its effects during the past five centuries. Proposals may address Columbus's adventures directly or may treat the background or the period of the discoveries; the concept of discovery itself; the significance of the voyages for European history as well as for native American peoples; the expansion of European civilization

through the encounters of native American, European, and African peoples, including broader issues such as immigration, colonization, and the continuing relations among the peoples of the old and new worlds; and the ideas--political, religious, philosophical, scientific, technological, and aesthetic--that shaped the process of exploration, settlement, and cultural conflict and transformation set into motion by Columbus's "event of epic chance."

Criteria for Selection

Applications to the programs of Fellowships for University Teachers and Fellowships for College Teachers and Independent Scholars, including special NEH initiatives within the programs, will be judged on the basis of the following criteria:

1. The quality or the promise of quality of the applicant's work as a teacher, scholar, or interpreter of the humanities;
2. The significance of the contribution that the proposed project will make to thought and knowledge in the applicant's field and to the humanities generally, either directly or indirectly;
3. The conception, definition, organization, and description of the proposed project; and
4. The likelihood that the applicant will complete the project.

Eligibility

An applicant for an NEH Fellowship should be a U.S. citizen, a native resident of a U.S. territorial possession, or a foreign national who has been residing in the United States or its territories for at least the three years immediately preceding the application deadline.

Although applicants need not have advanced degrees, those whose professional training includes a degree program must have received their degrees or completed all official requirements for them by June 1, the application deadline. If a prospective applicant has completed all of the official requirements for the degree and is awaiting only the formal award, certification that all requirements have been met by the application deadline must be submitted by the dean of the school awarding the degree. Persons seeking support for work leading toward a degree are not eligible to apply, nor are active candidates for degrees, even if they expect to have finished all work for their degree by the time they would begin tenure of the fellowship and the work proposed is not related to their degree program.

Persons who have recently held major fellowships or grants are not eligible to apply for an NEH Fellowship: Specifically, three years must have elapsed between the conclusion of tenure of the fellowship or grant and the proposed beginning date of tenure for an NEH Fellowship. For the Endowment, a "major fellowship or grant" is a postdoctoral award or its equivalent which provides a continuous period of released time covering at least one term of the academic year; which enables the recipient to pursue scholarly research, personal study, professional development, or writing; which provides a stipend of at least \$6,000; and which comes from sources other than the recipient's employing institution. Thus, sabbaticals and grants from an individual's own institution are not considered major fellowships, nor are stipends and grants from other sources supporting study and research during the summer academic recess, such as NEH Summer Stipends and NEH Summer Seminar awards.

The program of Fellowships for University Teachers, including special NEH initiatives within this program, is open only to faculty members of departments in universities that grant the Ph.D., faculty members with appointments to interdepartmental programs and central graduate schools that grant the Ph.D., and faculty members of post-graduate professional schools. Individuals who have such appointments either part-time or on terminating contracts are eligible only for this program. Retired faculty members who had such positions are normally eligible for this program.

The program of Fellowships for College Teachers and Independent Scholars, including special NEH initiatives within this program, is open to anyone who does not meet the criteria above, that is, faculty members, either part-time or full-time, of two-year, four-year, and five-year colleges; faculty members of university departments, inter-departmental programs, and central graduate schools that do not grant the Ph.D.; individuals affiliated with institutions other than colleges and universities; and scholars and writers working independently. Individuals who have such positions on terminating contracts are eligible for this program as long as they do not take appointments in departments or programs that grant the Ph.D. before the January following the application deadline. Retired faculty members who had such positions are also eligible for this program.

Persons may apply in the same year for support to only one of the programs of NEH Fellowships, even if the application is in an area of one of the special NEH initiatives. Individuals may apply for an NEH Fellowship at the same time they apply to other programs within the Division and the Endowment but may not hold more than one award from the Endowment for personal study, research, or enhancement of their teaching in any given fiscal or calendar year.

Stipends, Tenure, and Conditions of Award

Fellowships normally support full-time work and are awarded for continuous periods of six to twelve full months of tenure. The stipend is intended primarily to replace salary lost through the taking of leave. Stipends for 1987-88 fellowships will be based on fellows' 1986-87 salaries, minus sabbaticals and other grants, up to the maximum stipend level for the tenure period involved. The maximum amount of the NEH stipend for tenure periods of between nine and twelve months, which for teachers encompass the full academic year, is \$27,500. The maximum for periods of between six and nine months is prorated by months or, for teachers, by academic-year terms. For example, the maximum stipend for a fellowship encompassing two academic-year quarters is \$18,334; for one encompassing a semester, \$13,750; and for one encompassing one quarter, \$9,167. Summer terms are excluded from consideration in calculating stipends although fellows may elect to include summer months in their tenure periods. Some assistance may be provided within the stipend limit to help defray the costs of necessary travel, but no allowance is given for any other expenses.

Fellows may supplement their awards with small grants from other sources but may not hold other major fellowships or grants during fellowship tenure, except sabbaticals and grants from their own institutions. Successful applicants who receive offers of fellowships from other foundations after June 1 must hold the NEH Fellowship first.

Fellows may begin tenure of their awards any time between January 1 following notification of the award and the beginning of the spring term of the next academic year. For teachers, tenure periods must include at least one term of the academic year and should not begin or end during a term of the academic year.

Part-time fellowships, defined as any amount of released time between half time and full time, will be awarded under exceptional circumstances. Such exceptional circumstances might, for example, include job responsibilities that cannot be relinquished or the value that teaching a particular course would have for the work proposed for the fellowship. These circumstances must be explained in the application. Tenure must be for a continuous period of whole months. The minimum is six months; the maximum is the equivalent of twelve months of full-time tenure: e.g., four semesters over twenty-four months at half time; three semesters over seventeen months at two-thirds time. Stipends for part-time fellowships are intended primarily to replace salary lost through released time and will be calculated according to the same principles as those for full-time fellowships. The maximum stipend is \$27,500 and will be prorated according to both the number of terms covered by the tenure period and the amount of released time taken. For example, the maximum stipend for a six-month fellowship at half time is \$6,875; for a twelve-month fellowship at two-thirds time, \$18,334; and for a twenty-four month fellowship at half time, \$27,500.

A full-time fellow must devote full time to the fellowship study and may not accept a teaching assignment or undertake any other major activity during the tenure of the fellowship. A part-time fellow must devote the entire released time indicated to the fellowship study and may not undertake any other major activity or accept any teaching assignment other than that finally agreed upon between the Endowment and the fellow.

Application Deadline and Award Notification

Applications must be postmarked by June 1. Awards will be announced by mid-December.

The Application Review Process

The programs of NEH Fellowships have one review cycle a year and receive applications against an annual deadline. Applications to the programs are evaluated competitively by peer review panels. The review process for NEH Fellowships takes six months. The stages are described below.

Applicants are required to have reference letters sent to the Endowment in support of their applications. These letters are attached to the applications before they are sent to the panels and aid panelists as they evaluate applications for awards.

Review panels of scholars and teachers are assembled by the division staff and convened at the Endowment. There are no standing panels; panels are constituted anew for each cycle of applications. The applications are divided by discipline or clusters of related disciplines into sets for review by panels. There are generally five to seven members on each panel who are familiar with the subject matter of the applications assigned to their panel. Panelists' names are published each year in the Endowment's annual report.

Panelists read and prepare written preliminary evaluations of all the applications assigned to their panel, weighing each one both on its own merits and in its relation to other applications under consideration. At the meeting, panelists arrive at a collective recommendation for each application, discussing it to the extent necessary to agree on its standing. Every panel meeting is chaired by a member of the division's senior staff, who assures that the criteria of selection announced in the application guidelines for the programs are followed and that questions about Endowment policy are answered.

After applications have been evaluated, the panel recommendations are brought before a committee of the National Council on the Humanities. The National Council is composed of twenty-six men and women appointed by the President with the consent of the Senate to advise the chairman of the Endowment on policy and program matters. NEH staff provide the council members with information about the applications, the recommendations of the panelists, and separate staff recommendations in instances

where panelists have failed to reach consensus or where substantial policy questions have been raised. In turn, the National Council makes recommendations to the chairman of the Endowment, and the chairman makes the final decision on the applications. In the vast majority of cases, the recommendations of the various parties are the same.

Reapplication is always possible, and failure to gain support in one year does not prejudice an applicant's chances for reconsideration in future competitions. By writing to the program officer for the program to which they applied, unsuccessful applicants may obtain details of the panelists' evaluations of their proposals so that they can consider how they might strengthen their proposals if they decide to reapply.

OTHER PROGRAMS OF THE DIVISION OF FELLOWSHIPS AND SEMINARS

Summer Stipends provide support for faculty members in universities and two-year, four-year, and five-year colleges and for others working in the humanities to pursue two consecutive months of full-time study or research. Applicants may propose projects that can be completed during the stipend period or that are part of a long-range endeavor. The annual application deadline is October 1.

Summer Seminars for College Teachers provide opportunities to teachers in two-year, four-year, and five-year colleges and universities, and to others who are qualified to do the work of the seminar and make a contribution to it. Participants work under the direction of distinguished scholars and teachers and pursue advanced study and research in their own fields or in other fields related to their interests. The seminars last eight weeks and are held at institutions broadly distributed throughout the country. The annual application deadline for both directors and participants is March 1.

Summer Seminars for Secondary School Teachers provide opportunities for teachers of grades 7 through 12 to work in their areas of interest with distinguished teachers and committed scholars, studying seminal works in the humanities systematically and thoroughly. The seminars last for four, five, or six weeks, depending on the individual seminar, and are held at institutions broadly distributed throughout the country. The annual application deadline for participants is March 1, and for directors, April 1.

The Faculty Graduate Study Program for Historically Black Colleges and Universities is offered by the Endowment in response to President Reagan's initiative (Executive Order 12320) regarding historically black colleges and universities. These fellowships are intended to strengthen the teaching of the humanities at these colleges and universities by providing one year of support for teachers to work toward the completion of a doctoral degree in one of the disciplines of the humanities. The annual application deadline is March 15.

The Travel to Collections Program offers small grants to scholars who must travel to use research collections of libraries, archives, museums, and other repositories. Awards are made to help defray transportation costs, subsistence and lodging, reproduction and photoduplication costs, and associated research expenses. The annual application deadlines are July 15 for travel that will begin after December 1, and January 15 for travel that will begin after June 1.

The Younger Scholars Program provides support for secondary school and undergraduate students to carry out projects of research and writing in the humanities during the summer. Recipients work under the close supervision of a humanities scholar, and no academic credit may be taken for this work. The annual application deadline is November 1.

Further information on these programs is available from the

Division of Fellowships and Seminars
Room 316
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

NEH Fellowships, Summer Stipends, and Travel to Collections Program:
202/786-0466.

NEH Summer Seminars, Faculty Graduate Study Program for Historically Black Colleges and Universities, and Younger Scholars Program:
202/786-0463.

Information about programs of the Division of Research Programs is available from the

Division of Research Programs
Room 319
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

Texts:	202/786-0207
Reference Materials:	202/786-0358
Interpretive Research	202/786-0210
Regrants:	202/786-0204

Information on other Endowment programs is available from the

Public Affairs Office
Room 409
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506
Telephone: 202/786-0438

Information about programs of the National Endowment for the Arts is available from the

Public Affairs Office
Room 617
National Endowment for the Arts
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506
Telephone: 202/682-5400

APPLICATION INSTRUCTIONS

A completed application consists of the following materials:

- 1) The original proposal, which includes
 - a) the one-page NEH application cover sheet,
 - b) a curriculum vitae, which should not exceed two pages, and
 - c) a detailed description of the proposed study and brief bibliography, which together should not exceed five single-spaced pages;
- 2) Eight collated copies of the application cover sheet, curriculum vitae, and description of study with bibliography;
- 3) The set of data cards, one 5" x 8" and one 3" x 5"; and
- 4) Three letters of recommendation, to be submitted separately by the referees on reference-letter forms.

The instructions for the application cover sheet begin on p. 18, and the cover sheet and reference letter forms follow. The data cards are located on the inside back cover of this booklet.

Applicants should make and keep an additional copy of the application for their own records.

NEH Application Cover Sheet

Instructions for completing the cover sheet begin on page 18.

Curriculum Vitae

The curriculum vitae should be in concise, outline form and should not exceed two pages. The following information should be included:

- a) The applicant's status as either a junior scholar or senior scholar. For purposes of the application, junior scholars hold the rank of instructor or assistant professor in colleges or universities or are at comparably early stages of their careers. Senior scholars are associate professors and full professors or are at comparably mature stages of their careers. The applicant's status as a junior or senior scholar should also be indicated on the reference letter forms and on the 5" x 8" data card.
- b) A record of the applicant's education, including the titles of any theses or dissertations, and the dates when degrees were awarded. Please note that applicants must have completed their professional training by the application deadline, June 1. Individuals pursuing degrees may not apply for NEH Fellowships.
- c) A record of employment and current position or positions. An applicant with an appointment to an interdepartmental graduate program or central graduate school should indicate it, noting the highest degree offered by the program or school. If a current contract is ending, the applicant should indicate the date of termination.
- d) A list of the most important academic honors or awards received, including the source, tenure dates, and purpose of all fellowships and grants. As noted above, persons who have received major grants for tenure during the three years preceding their proposed tenure of an NEH Fellowship are not eligible to apply. Thus, applicants who have been awarded small grants for their own research during this period should be sure to indicate as well the dollar amount and the terms of leave (if any) provided by the grant so that their eligibility can be determined. In the case of grants awarded for purposes other than one's own study and work, applicants should explain their involvement and activities. If applicants have been awarded no grants for tenure during the three years preceding their proposed NEH tenure, they should state that none has been granted.
- e) A list of publications, if any. Applicants should not submit books, articles, or similar materials as supplements to the application.
- f) A list of the names and positions of the three people who will be submitting reference letter forms.

Description of Proposed Study

The proposal for a fellowship is the only demonstration that panelists will have of the substance of the project, the contribution it can make, and the general quality of the proposed work. It is essential that the text include enough detail about the ideas, objectives, and methods entailed in the project to enable panelists to assess quality, significance, and feasibility: A simple statement of need or intent is insufficient evidence that a project merits support. Some reviewers will not possess specialized knowledge of the proposed field of study; therefore, the description should be free of jargon and, as much as possible, technical terms.

Although no particular form is prescribed, the description of the project should address the questions listed below. The text, together with the bibliography, should not exceed five single-spaced pages.

a) What are the basic ideas, problems, works, or questions the study will examine; what is the planned approach or line of thought, to the extent that it has been developed?

If, on the other hand, the area is new to the applicant, what are the reasons for working in the field or what interests have led to the new study; what effects will the new study have on the applicant's knowledge of and approach to his or her own field? If the applicant proposes to take formal course work, what courses or programs are contemplated and how will they contribute to the applicant's larger objectives?

b) What is the current state of the proposed study: Is it in the beginning stages or in progress; what are the plans for each stage; and how does the part of the study to be done under the fellowship fit into the whole? There should be a proposed schedule or plan of work which the applicant would follow during the tenure of the fellowship.

c) For what audience are the results of the study intended, such as students, other teachers, other scholars, the general public, or some combination of these; and what form is the proposed study likely to assume, such as a book, monograph, text, article, series of lectures, etc.? Has a publisher expressed interest in or awarded a contract for the work?

d) What is the relationship of the proposed study to the applicant's long-range teaching or scholarly objectives or to the applicant's development as a teacher, scholar, or interpreter of the humanities?

e) What contribution is the proposed study likely to make to the field of study or to teaching and learning in the humanities generally? If the applicant is working in a new area, how will enhancing the applicant's knowledge contribute to the intended audience's understanding of the issues concerned?

f) What is the relationship between the proposed work and the work of others in the same field; what is distinct about the proposed study?

g) What is the applicant's competence in any languages needed for the study?

h) Where will the applicant conduct the study? What is the likelihood of access to archives, collections, or institutions with resources necessary for the work?

i) If the applicant is applying for a part-time fellowship, what is the necessity of holding the fellowship part-time rather than full-time? For example, what are the job responsibilities that cannot be relinquished or what value would teaching a given course or seminar have on the fellowship project? How much released time, between half time and full time, would be devoted to the fellowship; what duties would be relinquished and what duties would be retained during the requested period of fellowship tenure?

j) If the applicant is to be connected during the period of tenure requested for the fellowship with any other project supported or under consideration by the Endowment, how would the proposed fellowship work fit in with the other project?

Bibliography: Following the description of the project should be a selected list, which normally should not exceed one page, of publications by other scholars and writers or of primary materials and sources that the applicant has used or is planning to use that have the greatest relevance to the proposed study. This list of readings and materials is vital to the proposal, for panelists gather from it the amount of work or preparation an applicant has done in the field, the character of the applicant's interests, and the nature of the approach.

Reference Letters

Referees should be persons who are thoroughly familiar with the applicant's professional capabilities and accomplishments as well as with the area or areas of the proposed study. Referees should be in a position to discuss specifically the likely results or outcome of the proposed project, its significance to the field and to its intended audience, the general quality of the applicant's work, and the applicant's ability to carry out the project successfully. Ideally, no more than one referee should be from the applicant's own institution.

It is the applicant's responsibility to complete the top part of the reference letter form, to check the appropriate box or boxes on the front of the form, and to send the form, together with a copy of the application, to each referee. It is also the applicant's responsibility to request referees to send letters of reference directly to the Endowment, addressed to the Division of Fellowships and Seminars. Applicants should ask referees to send reference letters as close to the application deadline as possible.

Submission of Application

The completed application package must be postmarked no later than June 1. The application package should be directed to the appropriate program, as follows:

Fellowships for University Teachers
Fellowships for College Teachers and Independent Scholars

The package should be mailed to the following address:

Division of Fellowships and Seminars
Room 316
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

National Endowment for the Humanities

Washington, D.C.

The next three pages include:

- Instructions for Completing the Application Cover Sheet
- The Application Cover Sheet
- Field of Project Categories and Codes

Please read the instructions before completing applicable questions. Please print or type.

Purpose: The National Endowment for the Humanities uses a single cover sheet for all of its programs. This cover sheet gathers information that is necessary in one of two ways:

(1) The information is necessary for efficient consideration of the application during the review process and in the administration of the grant if an award is made.

(2) The information is *required of the Endowment* in various reports to Congress, other federal agencies, and the public. The Endowment must provide reports which involve statistical information or descriptions that can be obtained quickly from the cover sheet. Information is recorded in a computer which stores the data for subsequent compilation and reporting.

Please read the instructions for each question carefully. Answer each question by typing or printing your reply. Please verify your answers to be certain that they are correct and complete.

You will find it helpful to complete the cover sheet last, after all other parts of the application have been prepared.

Privacy Act: The following notice is furnished in compliance with the Privacy Act of 1974:

The information is solicited under the authority of the National Foundation on the Arts and the Humanities Act of 1965, as amended, 20 U.S.C. 956. This information is needed to process the grant application and for statistical research and analysis of trends. The routine uses which may be made of this information are: general administration of the grant review process; statistical summaries; Congressional oversight; and analysis of trends.

INSTRUCTIONS FOR COMPLETING THE NEH APPLICATION COVER SHEET

Block 1. Individual Applicant:

Item a: Give a mailing address good through January of the year following submission of the application.

Item b: Indicate the number corresponding to your preferred form of address:

1-Mr.	4-Ms.
2-Mrs.	5-Professor
3-Miss	6-Dr.

Item c: Whenever possible, one of the telephone numbers listed should be a number at which a message can be left.

Item d: Please use the fields listed on the back of the cover sheet as a guide. Write the name of the field and also put the code number in the box: for example, Music, M5. If the field of your specialty is not listed, indicate the general discipline in which it falls or the closest major field.

Item e: If you are a foreign national, check "Other" and specify the month and year in which you came most recently to reside in the United States.

Block 2. Pre-checked.

Block 3. Pre-checked.

Block 4. Program to which Application Is Being Made:

Check the program to which you are applying and also write in the box the appropriate code number for the program:

Fellowships for University Teachers--6111
Fellowships for College Teachers and Independent Scholars--6112

If you are applying for a Constitutional Fellowship, you should both indicate here the program through which you are applying and check the box for this competition. Applicants in other areas of the NEH special initiatives should also see Block 13, Initiatives.

Block 5. Requested Grant Period:

You should request a period of tenure that will suit your schedule and the needs of your project: See p.8, above, for details on permissible length and dates of tenure for part-time and full-time tenure

periods. A request for a shorter tenure period will not increase the chances of receiving an award. Successful applicants will be permitted, when awards are announced, to change the dates of tenure, as long as the total amount of time is not changed.

Block 6. Project Funding:

Do not fill in this item. The information on which stipends are based will be requested from successful applicants when awards are announced.

Block 7. Field of Project:

From the fields and code numbers listed on the back of the cover sheet, select the one that most closely describes the field of your program of study and research: for example, American History, A3.

Block 8. Descriptive Title of Project:

Enter a brief title that clearly identifies the project and its humanities content. This title should be informative to a non-specialist and should not exceed 120 characters in length, including punctuation and spaces. The Endowment is obliged to be as clear as possible to the public about awards it makes. The descriptive title will be used for this purpose whenever possible, but the Endowment staff may assign a different working title to the project for purposes of greater clarity. The working title does not need to be the title of the product that may result from the project. Applicants retain complete authority and responsibility for choosing titles for their project products.

Block 9. Description of Proposed Project:

In this space only summarize the points which are developed in your full, appended proposal. This summary is important both for purposes of review and records. Do not merely refer to the full proposal. The summary must be limited to the space provided and may not be continued on another page.

Block 10. Not Applicable.

Block 11. Institutional Data:

Item a: If affiliated with an institution or organization, indicate its name and location.

Item b: Not Applicable.

Item c: Not Applicable.

Block 12. Date of Birth:

Indicate the month and year of your birth.

Block 13. Initiatives:

If your proposed project falls in one of the areas of the NEH anniversary and commemorative initiatives (except Constitutional Fellowships), be sure to indicate here which area: Understanding America, which includes the special category of Fellowships on the Foundations of American Society, Understanding Other Nations, and the Columbian Quincentenary.

1. Individual Applicant or Project Director

a. Name and Mailing Address

(Last) (First) (Initial)

(City) (State) (Zip)

b. Form of Address

c. Telephone

Office _____ Ext. _____

Home _____

d. Major Field of Applicant or Project Director

e. Citizenship U.S.
 Other _____ (Specify)

2. Type of Applicant

a. By an Individual b. Through an Org./Inst.
If a., indicate an institutional affiliation, if applicable, on line 11a.
If b., complete block 11 below and indicate here:
c. Type
d. Status

3. Type of Application

a. New c. Renewal
b. Revision and Resubmission d. Supplement
If either c. or d., indicate previous grant number:

4. Program to Which Application is Being Made

Fellowships for University Teachers Constitutional
 Fellowships for College Teachers and Independent Scholars

5. Requested Grant Period

From: (Mo./Yr.) _____ To: (Mo./Yr.) _____

6. Project Funding

a. Outright Funds \$ _____
b. Federal Match \$ _____
c. Total From Endowment \$ _____
d. Cost Sharing \$ _____
e. Total Project Costs \$ _____

7. Field of Project

8. Descriptive Title of Project

9. Description of Project (do not exceed space provided)

10. Will This Proposal Be Submitted to Another Government Agency or Private Entity for Funding?

If Yes, indicate where and when: **Not Applicable**

11. Institutional Data

a. Institution or Organization: _____ (Name) _____ (City) _____ (State)

b. Authorizing Official: Name _____ (Last) _____ (First) _____ (Initial) **Not Applicable**

Title: _____ Signature: _____ (Date)

c. Institutional Grant Administrator—Name and Mailing Address:

Not Applicable

(Last) (First) (Initial)

Form of Address

Telephone: _____

(City) (State) (Zip)

12. Date of Birth _____ (Month) _____ (Year)

(For NEH Use Only)

Date Received
Application #
Initials

- 13. Columbian Quincentenary
- Understanding America
- Understanding Other Nations

Field of Project Categories and Codes

The following categories and codes should be used to complete blocks 1d and 7 of the NEH Application Cover Sheet. If the specific field of your project is not included in this listing, select the appropriate major field. (This listing is strictly for use by NEH staff to help

retrieve information requested on grants and applications in specific disciplines of the humanities. The listing is not intended to be comprehensive, nor does it represent preferred funding categories. The "hierarchical" arrangement is for convenience.)

Anthropology L1

Archaeology U6

Archival Management/Conservation I1

Arts, History and Criticism MA

Architecture: History & Criticism U3

Art: History and Criticism M1

Dance: History & Criticism M3

Film: History & Criticism M4

Music: History & Criticism M5

Theater: History & Criticism M2

Communications P2

Composition & Rhetoric P1

Journalism P4

Media P3

Education H1

Ethnic Studies K1

Asian American K5

Black/Afro-American K4

Hispanic American K3

Jewish K6

Native American K2

History A1

African A2

American A3

Ancient AC

British A4

Classical A5

European A6

Far Eastern A7

Latin American A8

Near Eastern A9

Russian AA

South Asian AB

Humanities U8

Interdisciplinary U1

African Studies GI

American Studies G3

Area Studies GH

Asian Studies G5

Classics G7

Folklore/Folklife R1

History/Philosophy of Science,
Technology or Medicine GA

International Studies GG

Labor Studies G4

Latin American Studies GJ

Medieval Studies G8

Regional Studies GF

Renaissance Studies G9

Rural Studies GC

Urban Studies G2

Western Civilization GB

Women's Studies G1

Languages C1

Ancient CC

Asian CA

Classical C2

Comparative C9

English CE

French C3

German C4

Italian C5

Latin American C6

Near Eastern CB

Slavic C7

Spanish C8

Law/Jurisprudence Q1

Library Science H3

Linguistics J1

Literature D1

African DK

American DE

Ancient DC

Asian DA

British DD

Classical D2

Comparative D9

French D3

German D4

Latin American D6

Literary Criticism DI

Near Eastern DB

Slavic D7

Spanish D8

Museum Studies/Historic Preservation I2

Philosophy B1

Aesthetics B2

Epistemology B3

Ethics B4

History of Philosophy B5

Logic B6

Metaphysics B7

Non-Western Philosophy B8

Religion E1

Comparative Religion E5

History of Religion E2

Non-Western Religion E4

Philosophy of Religion E3

Social Science U2

American Government F2

Economics N1

Geography U7

International Relations F3

Political Science F1

Psychology U5

Public Administration F4

Sociology S1

**Division of Fellowships and Seminars
National Endowment for the Humanities
Washington, D. C. 20506**

Check the appropriate category or categories:

- Fellowships for University Teachers
 Junior Senior Constitutional Fellowships
- Fellowships for College Teachers and Independent Scholars
 Junior Senior
-

To Be Completed By The Applicant:

Name of Applicant: _____

Institution: _____

City and State: _____

Descriptive Title of Project: _____

For Use Of Respondent: (Please see back of this page for guidelines. Do not use blue ink.)

Signature of Respondent _____ Date _____

Name and Title _____

Department (or Position) _____

Institution (or Employer) _____

GUIDELINES FOR REFEREES

The person named on the front of this form has applied for an NEH Fellowship through the program, and, if checked, competition, indicated on the front. We would appreciate receiving from you a judicious assessment of the application.

The National Endowment for the Humanities will keep the identity of authors of reference letters confidential. Under certain circumstances, the Freedom of Information Act, 5-U.S.C. 552, and the Privacy Act of 1974, 5-U.S.C. 552a, require that non-identifying contents of reference letters be disclosed at the request of the applicant.

It is important to the applicant that we receive your letter as close as possible to the application deadline, June 1. Please send the letter directly to the

Division of Fellowships and Seminars
Room 316
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

NEH Fellowships provide opportunities for individuals to pursue independent study and research that will enhance their capacities as teachers, scholars, or interpreters of the humanities and that will enable them to make significant contributions to thought and knowledge in the humanities. The programs are intended for a range of people, from those who have made significant contributions to the humanities to those who stand at the beginning of their careers. Projects too may cover a range of activities from general study to specialized research.

The NEH Fellowship programs serve scholars in different circumstances:

Fellowships for University Teachers are for faculty members of departments and programs in universities that grant the Ph.D. and for faculty members of post-graduate professional schools.

Fellowships for College Teachers and Independent Scholars are for faculty members of two-year, four-year, and five-year colleges, university faculty members in departments that do not grant the Ph.D., individuals affiliated with institutions other than colleges and universities, and scholars and writers working independently.

**Division of Fellowships and Seminars
National Endowment for the Humanities
Washington, D. C. 20506**

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- Fellowships for College Teachers and Independent Scholars
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- Fellowships for College Teachers and Independent Scholars
Junior Senior
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The Endowment also announces the following initiatives to provide opportunities through its regular fellowship programs for study and research in special areas for the benefit of scholars, students, and the general public.

Understanding America: To commemorate its twentieth anniversary, the Endowment wants to encourage work on the historical, philosophical, and cultural heritage of the United States.

As part of this initiative, the Endowment has established a new category of fellowship support called The Foundations of American Society to encourage study and research on the significant events, principles, and achievements which have shaped the American experience.

In observance of the 200th anniversary of the U.S. Constitution, a special competition within this initiative for Constitutional Fellowships encourages study and research on the historical, political, philosophical, and cultural questions raised by the Constitution and the founding period.

Understanding Other Nations: As part of the commemoration of its twentieth anniversary, the Endowment also wants to enhance understanding of the history and thought of other cultures by promoting the study of foreign languages and cultures among Americans.

Columbian Quincentenary: To commemorate the 500th anniversary of Christopher Columbus's first voyage of discovery to the New World, the Endowment wishes to encourage study and research on topics concerning that event and its effects during the past five centuries.

Please judge the application according to the following selection criteria for NEH Fellowships, and add any other comments that you think might be helpful.

1. The quality or the promise of quality of the applicant's work as a teacher, scholar, or interpreter of the humanities;
2. The significance of the contribution that the proposed project will make to thought and knowledge in the applicant's field and to the humanities generally, either directly or indirectly;
3. The conception, definition, organization, and description of the proposed project; and
4. The likelihood that the applicant will complete the project.

- Fellowships for University Teachers
 - Junior
 - Senior
- Fellowships for College Teachers and Independent Scholars
 - Junior
 - Senior

Constitutional Fellowships

Applicant's Name (Last, First, Initial)	1986-87 Academic Year Salary: \$ _____ Contract Basis: <input type="checkbox"/> 9 months <input type="checkbox"/> Other: _____
Institutional Affiliation (Dept. or School, Institution, City, and State) Mailing Address (if different from above)	Descriptive Title of Project (Same as Cover Sheet)
	Telephone Number (with area codes) Office: _____ Home: _____
	References (Names and Positions) 1. _____ 2. _____ 3. _____
Academic Rank: <input type="checkbox"/> Professor <input type="checkbox"/> Instructor <input type="checkbox"/> Assoc. Professor <input type="checkbox"/> Lecturer or Other <input type="checkbox"/> Asst. Professor <input type="checkbox"/> No Academic Rank	

Complete all blanks as follows:

1. Name: Last, First, Middle.
2. Institutional Affiliation: Institution's name, city, state.
3. Descriptive Title of Project as in Block 8 of the Application Cover Sheet.

1.	_____
2.	_____
3.	_____

National Endowment for the Humanities
Division of Fellowships and Seminars
Room 316
1100 Pennsylvania Avenue, NW
Washington, D.C. 20506

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