N A T I O N A L

E N D O W M E N T

F O R T H E

H U M A N I T I E S

Fellowships and Summer Stipends

Fellowships for University
Teachers

Fellowships for College
Teachers and
Independent Scholars

Summer Stipends

APPLICATION DEADLINES: Fellowships - May 1, 1996 Stipends - October 1, 1996

Division of Research and Education Programs

# CHECKLIST OF APPLICATION MATERIALS FOR NEH FELLOWSHIPS AND SUMMER STIPENDS

f. a separate page with the names, titles,

departments, and institutions, if applicable, of the two people who will be writing reference letters.

The original proposal, which includes	eight collated and stapled copies of the applica- tion cover sheet, résumé, detailed project descrip-
a. the original NEH application cover sheet;	tion, two-page sample (for translation projects only), bibliography, and a page with the name, title,
b. a résumé, in outline form and not to exceed two pages;	department, and institution of each referee;
puges,	a set of data cards, from the inside back cover of
c. a detailed description of the proposed study, not to exceed three single-spaced or six double-	this application brochure; and
spaced pages;	two reference letters, to be submitted directly to the Endowment by the referees on refer-
d. for translation projects only, a two-page sample of the translation for which the fellowship is to	ence-letter forms.
be used;	

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OMB No. 3136-0134 Expires 7/31/97

#### **Customer Service Standards**

#### In assisting you as a prospective applicant, you can expect us to:

- o respond courteously and quickly to your requests for information about our grant programs;
- o be able to describe the programs that best suit your needs;
- o provide application instructions and forms that are clear and easy to use;
- o offer prompt and thoughtful advice and guidance in preparing your application;
- o explain accurately the procedures that would be used to evaluate your application and tell you when you could expect a decision.

#### In assisting you as an applicant, you can expect us to:

- o ensure that the evaluation of your application is fair, expeditious, and informed by the expert judgments of your peers;
- o notify you promptly of the decision on your application;
- o provide substantive reasons for the decision reached on your application;
- o give you helpful advice, if you are unsuccessful, on revising or resubmitting your application.

#### In assisting you as a grantee, you can expect us to:

- o provide you with an award document that is clear and easy to understand and that sets forth sensible reporting requirements;
- o provide the names of our staff members who will serve as contacts for your reports and for any assistance you may need;
- o answer promptly and satisfactorily all requests for information on NEH policies and procedures;
- o read and acknowledge promptly your reports on grant activities;
- o maintain a professional, helpful relationship with you as you carry your project to completion.

#### THE HUMANITIES

The act that established the NEH says "The term 'humanities' includes, but is not limited to, the study of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism, and theory of the arts; those aspects of social sciences which have humanistic content and employ humanistic methods; and the study and application of the humanities to the human environment with particular attention to reflecting our diverse heritage, traditions, and history and to the relevance of the humanities to the current conditions of national life."

Work in the creative or performing arts—such as the writing of fiction or poetry, painting, sculpting, composing or performing music, acting, directing, and dance—is not eligible for support by the National Endowment for the Humanities. Persons interested in support in these areas should call or write the National Endowment for the Arts. Critical, historical, and theoretical studies of the arts, however, are eligible for NEH support.

#### **Presidential Directives**

NEH participates in two government-wide Presidential Directives. Executive Order 12876 was promulgated in 1989 in order to help strengthen and ensure the long-term viability of the nation's Historically Black Colleges and Universities, and Executive Order 12900 was issued on behalf of educational excellence for Hispanic Americans. NEH encourages applications that respond to these Presidential Directives.

#### **Special Initiative**

A special initiative is an undertaking by NEH to encourage proposals in all grant-making categories for projects relating to a specific subject or event. Proposals are currently solicited for the following initiative:

#### A National Conversation on American Pluralism and Identity

"All of our people—left, right, and center—have a responsibility to examine and discuss what unites us as a country, what we share as common American values in a nation comprised of so many divergent groups and beliefs. For too long, we have let what divides us capture the headlines and sound bites, polarizing us rather than bringing us together. . . . This is to be a national conversation open to all Americans, a conversation in which all voices need to be heard and in which we must grapple seriously with the meaning of American pluralism."

—Sheldon Hackney, Chairman
National Endowment for the Humanities

# THE NATIONAL ENDOWMENT FOR THE HUMANITIES

"Democracy demands wisdom and vision in its citizens."

—National Foundation on the Arts and the Humanities Act of 1965

In order "to promote progress and scholarship in the humanities and the arts in the United States," Congress enacted the National Foundation on the Arts and the Humanities Act of 1965. This act established the National Endowment for the Humanities as an independent grant-making agency of the federal government to support research, education, and public programs in the humanities. Program grants are made through the Federal-State Partnership and three divisions (Preservation and Access; Public Programs and Enterprise; and Research and Education) and the Office of Challenge Grants.

In order to expand, inform, and enrich this national conversation, the Endowment invites proposals that address any of the complex topics and themes related to pluralism in America. NEH is particularly interested in how people—differentiated by ethnicity, race, or culture—have interacted within the framework of our national society and what commonalities may have emerged as shared American values.

The goal of this initiative is to support projects that place questions about American pluralism and identity in historical and critical perspective, thus animating the national conversation on our shared values and illuminating the evolving ideal suggested by our nation's motto, *E Pluribus Unum*.

Applications responding to this initiative should be submitted to established NEH programs and will be judged according to their criteria.

For examples of projects under this special initiative, please write to

NEH Public Information Office Room 402 1100 Pennsylvania Ave., N.W. Washington, D.C. 20506 e-mail address: info@neh.fed.us

# GENERAL INFORMATION ON NEH FELLOWSHIPS AND SUMMER STIPENDS

#### Purpose, Scope, and Eligibility

NEH Fellowships and Summer Stipends provide opportunities for individuals to pursue advanced work that will enhance their capacities as interpreters of the humanities and enable them to make significant contributions to thought and knowledge in the humanities. Applicants may be faculty members of colleges and universities, staff members of colleges and universities, and faculty and staff members of primary and secondary schools. However, NEH Fellowships and Summer Stipends are not limited to individuals in educational institutions, and scholars and writers in various other positions may apply. For example, scholars and writers working independently or in institutions with research or educational collections such as museums, libraries, and historical associations or in institutions with no connection to the humanities are eligible and encouraged to apply.

NEH Fellowships and Summer Stipends support projects that can be completed during the tenure of an award as well as projects that are long-range endeavors.

Projects supported by NEH Fellowships and Summer Stipends cover a variety of activities. They may contribute to scholarly knowledge, to the advancement of teaching, or to the general public's understanding of the

humanities. Such work might eventually produce scholarly articles; a monograph on a specialized subject; a book-length treatment of a broad topic; an archaeological site report; or a translation, an edition, or other scholarly tool.

NEH Fellowships and Summer Stipends do not support projects to improve methods of teaching, to carry out empirical educational research projects, or to examine theories of teaching and learning that lack substantial content in a discipline of the humanities. Neither do they support surveys of courses and programs in other institutions or the preparation of institutional curricula. Inquiries about the possibility of Endowment support for institutional projects to improve curricula or the teaching of the humanities should be directed to the Endowment's Education Development and Demonstration Program within the Division of Research and Education.

#### Criteria for Selection

The mission of the National Endowment for the Humanities includes supporting work that will promote or encourage, in the words of the enabling legislation, "progress and scholarship in the humanities." To achieve this mission the Endowment strives to support only projects of compelling quality and importance. Consequently, applicants must demonstrate that their projects will be significant to the humanities.

The following criteria will be used in evaluating applications for NEH Fellowships and Summer Stipends:

- 1. the significance of the contribution that the proposed project will make to thought and knowledge in the field of the project and to the humanities generally;
- 2. the quality or the promise of quality of the applicant's work as an interpreter of the humanities;
- 3. the quality of the conception, definition, organization, and description of the proposed project; and
- 4. the likelihood that the applicant will complete the entire project.

#### **General Eligibility**

Citizenship: An applicant for an NEH Fellowship or Summer Stipend should be a United States citizen, a United States national of American Samoa, or a foreign national who has been residing in the United States or its jurisdictions for at least the three years immediately preceding the application deadline.

Projects supported by NEH Fellowships might eventually produce scholarly articles; a monograph on a specialized subject; a book-length treatment of a broad topic; an archaeological site report; or a translation, an edition, or other scholarly tool.

A lthough applicants need not have advanced degrees, those whose professional training includes a degree program must have received their degrees or completed all official requirements for them by the application deadline.

Highest Degree: Although applicants need not have advanced degrees, those whose professional training includes a degree program must have received their degrees or completed all official requirements for them by the application deadline. If a prospective applicant has completed all of the official requirements for the degree and is awaiting only the formal award, certification that all requirements have been met by the application deadline must be submitted by the dean of the school awarding the degree. Persons seeking support for work leading toward a degree are not eligible to apply, nor are active candidates for degrees, even if they expect to have finished all work for their degree by the time they would begin tenure of the fellowship and even if the work proposed is not related to their degree program.

Other Applications: In a given cycle a person may apply separately for both an NEH Fellowship and an NEH Summer Stipend—and to other NEH programs as well—though an applicant may not hold more than one NEH award in any given fiscal or calendar year. Potential applicants should read carefully the "Submission of Applications" sections for each program.

#### **How Applications Are Evaluated**

For NEH Fellowships, the application deadline is May 1, 1996, and results will be announced in mid-December. For NEH Summer Stipends, the application deadline is October 1, 1996, and results will be announced in mid-April 1997.

Each application is assessed by knowledgeable persons outside the Endowment who are asked for their judgments about the quality and significance of the proposed project. More than 1,200 scholars, professionals in the humanities, and other experts serve on approximately 225 panels in the course of a year. Panelists represent a diversity of disciplinary, institutional, regional, and cultural backgrounds.

The advice of the evaluators is assembled by the staff of the Endowment, who comment on matters of fact or on significant issues that would otherwise be missing from the review. These materials are then presented to the National Council on the Humanities, a board of twenty-six citizens nominated by the President of the United States and confirmed by the Senate. The National Council meets three times each year to advise the Chairman of the Endowment. The Chairman, who is appointed for a four-year term by the President with the consent of the Senate, takes into account the advice provided by this review process and, by law, makes the final decision about funding.

Persons may apply again, and failure to gain support in one year does not prejudice an applicant's chances for success in future competitions.

### GUIDELINES SPECIFIC TO NEH FELLOWSHIPS

The information in this section pertains only to applications submitted for either Fellowships for University Teachers or Fellowships for College Teachers and Independent Scholars. Applicants submitting applications for either of these NEH Fellowships opportunities should also read "General Information on NEH Fellowships and Summer Stipends" above.

# Distinctions between NEH *Fellowships* and the *Collaborative Research* Opportunity in the Research and Education Division

Applications should be submitted for Fellowships if the applicants are individuals seeking only salary support for a grant period of not longer than one year.

Scholars who are collaborating on projects of more than one year or who are seeking support that covers a range of project costs other than, or in addition to, salary replacement should consider applying to the Collaborative Research program. Two or more scholars who are collaborating on an interpretive project may wish to consider applying for Fellowships, though the vast majority of Fellowships applications are submitted for one-person projects. Collaborators can apply for Fellowships if they are seeking only salary replacement for from six to twelve months. In such cases each scholar seeking support must submit a separate application that describes the total project and the specific responsibilities of each scholar within it.

Scholars who are unsure about the NEH program suitable for their projects should consult with Endowment staff as early as possible.

Persons seeking further information on the full range of programs in the Research and Education Division should direct their inquiries to 202/606-8200 (telephone) or 202/606-8204 (fax).

#### **Eligibility for NEH Fellowships**

There are two NEH Fellowships programs: Fellowships for University Teachers and Fellowships for College Teachers and Independent Scholars. The program to which individuals may apply depends on the institution or institutions where they have been employed or on the fact that they have had no institutional affiliation. For applicants whose positions change near the application deadline, eligibility will be based on affiliation during the academic year before the deadline, not after it. A person may submit only one NEH Fellowships application in a given year.

Applications should be submitted for Fellowships if the applicants are individuals seeking only salary support for a grant period of not longer than one year.

Persons whose situations do not fit into any of the described categories should explain their circumstances in a letter attached to the application, and NEH staff will then assign the application to the appropriate program.

### The program of **NEH Fellowships for University Teachers** is open only to

- individuals who are teaching part-time or full-time in a college or university department that grants the Ph.D. degree;
- individuals with part-time or full-time appointments to a central graduate school, on their own campus or another campus, that grants the Ph.D. degree;
- individuals who are affiliated with a postgraduate professional school;
- individuals who are affiliated part-time or full-time in any capacity with colleges or universities that grant the Ph.D. degree in the same general discipline as their proposed project;
- individuals on terminating contracts who have such positions as described above; and
- retired individuals who have held such positions.

## The program of NEH Fellowships for College Teachers and Independent Scholars is open *only* to

- part-time or full-time faculty and staff members of two-year and four-year colleges and universities that do not grant the Ph.D. degree;
- part-time or full-time faculty members of universities in departments that do not grant the Ph.D. degree as long as the Ph.D. degree is not granted in the same general discipline as their project through another department, program, or college on their campus;
- individuals employed part-time or full-time in any nonteaching capacity at a university as long as the Ph.D. degree is not granted on their campus in the same general discipline as their project;
- individuals employed by institutions other than colleges and universities, such as primary or secondary schools, museums, libraries, etc.;
- individuals on terminating contracts who have such positions as described above;
- retired individuals who have held such positions; and
- individuals working independently.

Persons whose situations do not fit into any of the above categories should explain their circumstances in a letter attached to the applications, and NEH staff will then assign the applications to the appropriate program.

#### Recent Grants and Fellowships Held by the Applicant

Preference will be given to applicants who have not held major grants or fellowships within the three years preceding the tenure period proposed for an NEH Fellowship. A major grant or fellowship is a postdoctoral award or its equivalent that provides support for a continuous period of time equal to at least one term of the academic year; that enables the recipient to pursue scholarly research, personal study, or writing; that is in the amount of \$10,000 or more; and that comes from sources other than the recipient's employing institution. Sabbaticals and grants from an individual's own institution are not considered major fellowships, nor are stipends and grants from other sources supporting study and research during the summer academic recess, such as NEH Summer Stipends and NEH Summer Seminar participant awards.

A person who wishes to apply for a project that has previously received NEH Fellowships support is eligible. Panelists will be asked whether the applicant's record indicates that the previous award was put to good use and whether the project is important enough to warrant a second NEH Fellowship.

#### Stipends, Tenure, and Conditions of Award

Tenure must cover an uninterrupted period of from six to twelve whole months. The earliest that fellows may begin tenure is January 1, 1997. The latest that fellows who are teachers may begin tenure is the start of the spring term of the 1997-98 academic year. The latest that fellows who are not teachers may begin tenure is April 1, 1998. Tenure periods for teachers must include at least one term of the academic year and should not begin or end during a term of the academic year.

A full-time fellow must devote full time to the fellowship study and may not accept a teaching assignment or undertake any other major activity during the tenure of the fellowship. A part-time fellow must devote the entire released time to the fellowship study and may not undertake any other major activity or accept any teaching assignment other than that finally agreed on between the Endowment and the fellow,

The maximum amount of the NEH stipend is \$30,000 for all tenure periods. The actual stipends for 1997-98 fellows who are teachers will be based on their 1996-97 academic-year salaries and will be calculated to compensate for salary that will not be made up during the tenure period by sabbatical-leave salary or other comparable grants or income from their employing institutions. The stipends for fellows who are not teachers will be based on their 1996 calendar-year salaries and will be calculated to compensate for salary that will not otherwise be provided, for example, by an employer or another grant-making agency. If the maximum stipend is not reached in salary replacement, the Endowment may provide an allowance for necessary transportation expenses, up to the overall limit of \$30,000, but no allowance will be given for any other expenses.

A full-time fellow must devote full time to the fellowship study and may not accept a teaching assignment or undertake any other major activity during the tenure of the fellowship.

Tenure must be for continuous periods of whole months. The minimum tenure period should be the equivalent of six months of full-time tenure. The maximum is the equivalent of twelve months of full-time tenure.

Part-time fellowships can be awarded only under exceptional circumstances, such as when job responsibilities cannot be relinquished. These circumstances must be explained in the application. Part-time fellowships carry a maximum stipend of \$30,000 and are awarded for any amount of released time between half time and full time. Tenure must be for continuous periods of whole months. The minimum tenure period should be the equivalent of six months of full-time tenure. The maximum is the equivalent of twelve months of full-time tenure, for example, four semesters over twenty-four months at half time, or three semesters over sixteen months at two-thirds time. Stipends are intended primarily to compensate for salary lost through released time during the academic year and are calculated according to the same principles as those for full-time fellowships.

Applicants should request periods of tenure that suit their schedules and the needs of their projects. A request for a shorter tenure period will not improve the chances of receiving an award.

Fellows may not hold other major fellowships or grants during fellowship tenure, except sabbaticals and grants from their own institutions and small grants from other sources for specific research expenses.

Applicants who receive offers of fellowships from other foundations after May 1, 1996, should notify NEH right away.

#### **Submission of NEH Fellowships Applications**

- NEH will accept Fellowships applications postmarked between March 1, 1996, and May 1, 1996.
- —The Endowment acknowledges receipt of Fellowships applications in mid- to late-May.
- —Applicants will be notified of the decisions on their applications by mid-December 1996.
- —The NEH does not accept applications submitted by fax or e-mail.
- Application packages should be mailed to the following address:
   NEH Fellowships, Room 318
   National Endowment for the Humanities
   1100 Pennsylvania Avenue, N.W.
   Washington, D.C. 20506
- —Persons with questions regarding NEH Fellowships for University Teachers should call 202/606-8466 or send an e-mail message to fellowsuniv@neh.fed.us on the Internet.
- —Persons with questions regarding NEH Fellowships for College Teachers and Independent Scholars should call 202/606-8467 or send an e-mail message to fellowscollind@neh.fed.us on the Internet.

### GUIDELINES SPECIFIC TO NEH SUMMER STIPENDS

The information in this section pertains only to applications submitted for **NEH Summer Stipends**. Applicants submitting applications to this program should also read "General Information on NEH Fellowships and Summer Stipends," above.

#### **Nomination**

All applicants teaching in colleges and universities must be nominated by their institutions, except for the cases of exemption noted below. Each institution should designate a nominating official, usually an academic vice president or dean, whose signature on the application cover sheets will indicate that the respective applicants are the institution's nominees.

Each college and university in the United States and its jurisdictions may nominate two members of its faculty for the Summer Stipends competition. Of the two, at least one should be a **junior nominee**. For the purposes of the Summer Stipends program, academic applicants who hold the rank of instructor or assistant professor or who are at comparably early stages of their careers will be considered **junior nominees**. Those holding the rank of associate professor or professor will be considered **senior nominees**.

Each institution should announce its nominating procedures to all prospective applicants. Those wishing to apply should become familiar with their institution's nomination procedures well before the application deadline of October 1, 1996.

All applications that require nomination must be signed by the officer authorized to submit the institution's nominations. The nominating official should also send a letter to the Summer Stipends program giving the names of the institution's nominees.

#### **Exceptions to Nomination**

There are three exceptions to the nomination requirement:

- 1. Independent scholars are eligible to apply without nomination to the Summer Stipends program. For the purposes of this guideline, the designation "independent scholars" includes scholars not affiliated with a college or university.
- 2. Nonfaculty college and university staff members are eligible for the Summer Stipends program. They may apply without nomination provided that they will not be teaching during the 1996-97 academic year.

Each college and university in the United States and its jurisdictions may nominate two members of its faculty for the Summer Stipends competition. Of the two, at least one should be a junior nominee.

Each Summer Stipend provides \$4,000 for two consecutive and uninterrupted months of full-time independent study and research. Summer Stipends recipients may not hold major fellowships or grants during the tenure of their awards, and they must devote full time to their projects for the two months of their tenure.

3. Academic applicants with appointments terminating by the summer of 1997 may apply without nomination.

#### Recent Grants and Fellowships Held by the Applicant

Persons who have held a major fellowship or research grant or its equivalent during the 1994-95 academic year or during subsequent academic years are *ineligible* for 1997 NEH Summer Stipends. For the purposes of this guideline, a "major fellowship or research grant" is a postdoctoral award that provides support for a continuous period of time equal to at least one term of the academic year (for example, a quarter or a semester); that enables the recipient to pursue scholarly research, personal study, professional development, or writing; that provides a stipend of at least \$10,000; and that comes from sources other than the recipient's employing institution. In this regard, sabbaticals and grants from a person's own institution are **not** considered major fellowships, nor are stipends and grants from other sources supporting study and research during the summer.

Summer Stipends recipients must wait five years before they are eligible to apply again to the program. Thus, persons who have held Summer Stipends in 1993 or later are *not eligible* to apply for 1997 awards.

#### Stipends, Tenure, and Conditions of Award

Each Summer Stipend provides \$4,000 for two consecutive and uninterrupted months of full-time independent study and research. Summer Stipends recipients may not hold major fellowships or grants during the tenure of their awards, and they must devote full time to their projects for the two months of their tenure.

Summer Stipends normally support work carried out during the summer months, but arrangements can be made for holding tenure at other times of the year. Persons planning to begin tenure after April 30, 1998, should apply to the competition for 1998 awards.

#### **Submission of NEH Summer Stipends Applications**

- —NEH will accept Summer Stipends applications postmarked between August 1, 1996, and October 1, 1996.
- —Applicants will be notified of the decisions on their applications by mid-April 1997.
- —The Endowment does not accept applications submitted by fax or email.

-Application packages should be mailed to the following address:

NEH Summer Stipends, Room 318 National Endowment for the Humanities 1100 Pennsylvania Avenue, N.W. Washington, D.C. 20506

—Persons with questions regarding the program of Summer Stipends should call 202/606-8551 or send an e-mail message to stipends@neh.fed.us on the Internet.

# APPLICATION INSTRUCTIONS FOR NEH FELLOWSHIPS AND SUMMER STIPENDS

The completed application will consist of the following documents:

- 1. the original proposal, which includes
  - a. the original NEH application cover sheet;
  - b. a resume, in outline form and not to exceed two pages;
  - c. a detailed description of the proposed study, not to exceed three single-spaced or six double-spaced pages;
  - d. for translation projects only, a two-page sample of the translation for which the Fellowship or Summer Stipend is to be used (one page from the original text and a page of its translation);
  - e. a one-page bibliography for the project; and
  - f. a separate page with the names, titles, departments, and institutions, if applicable, of the two people who will be writing reference letters;
  - 2. eight collated and stapled copies of the following: application cover sheet, résumé, detailed project description, two-page sample (for translation projects only), bibliography, and page with the name, title, and department and institution of each referee;
  - 3. a set of two data cards from the inside back cover of this application brochure; and
  - 4. two reference letters, to be submitted on the reference-letter forms provided in this brochure. The authors of the reference letters should send their letters *directly* to the program in which the application will be considered.

The application cover sheet and reference-letter forms follow page 20.

Additional materials, such as books, articles, copies of course outlines, appendices, attachments, tapes, slides, etc., *should not be submitted* in support of applications because they will not be used in the review process. Transcripts and placement files also should not be sent.

The proposal is the only demonstration that evaluators will have of the substance of the project, the contribution it can make to humanities scholarship or teaching, and its general quality. The text must include detail about the ideas, objectives, and methods of the project.

#### **NEH Application Cover Sheet**

Instructions for completing the cover sheet begin on page 18.

#### Résumé

The resume should be in concise, *outline form* and *should not exceed two pages*. The following information should be included:

- a. a record of the applicant's education, including the titles of any theses or dissertations, and the dates when degrees were awarded.
- b. a record of employment and current position or positions.

For Fellowships applicants only: The applicant should provide the following information: the current affiliation, whether it is part-time or full-time, and whether and when the current contract will end. An applicant with an appointment to a graduate program on another campus should indicate it and note the highest degree offered in the program. For applicants whose positions change near the application deadline, eligibility will be based on affiliation during the academic year before the deadline, not after it.

For Summer Stipends applicants only: Persons applying as exempt from the nomination requirement because their appointments terminate by the summer of 1997 must note the termination date of their appointment.

- c. a list of publications, if any. For journal articles and book chapters, include page numbers.
- d. at the least, a list of awards and grants received since January 1, 1992, including the source, the dates of tenure, the dollar amount, and the terms of leave, if any, provided by such awards and grants. In the case of grants awarded for purposes other than individual study and research, applicants should explain their involvement and activities.

Applicants who have already received an NEH Fellowship or Summer Stipend in support of the currently proposed project should indicate the dates of tenure, the amount of the award, and the results.

#### **Description of Proposed Study**

The proposal is the only demonstration that evaluators will have of the substance of the project, the contribution it can make to humanities scholarship or teaching, and its general quality. The text must include detail about the ideas, objectives, and methods of the project. A simple statement of need or intent is insufficient evidence that a project merits support.

Some reviewers will not possess specialized knowledge of the proposed field of study; therefore, the description should be free of jargon and, as much as possible, technical terms.

The narrative description of the proposed study should not exceed three single-spaced or six double-spaced typed pages.

Although no particular form is prescribed, the description of the project should address the questions listed below.

- a. What are the basic ideas, problems, works, or questions the study will examine? What is the planned approach or line of thought? If the area is new to the applicant, what are the reasons for working in it, or what interests have led to the new area?
- b. What is the current state of the proposed study? Is it in the beginning stages or well under way? What are the plans for each stage, and how does the part of the study to be done during the tenure of the award fit into the whole? There should be a proposed schedule or plan of work that the applicant would follow during the tenure of the award.
- c. What contribution is the proposed project likely to make, and what is its significance for the humanities? The applicant should demonstrate the significance of the proposed project. In cases where the subject of the proposed study might seem narrow or obscure, the proposal should show the project's larger significance. If the applicant is working in a new area, how will enhancing the applicant's knowledge contribute to the intended audience's understanding of the issues concerned?
- d. What is the relationship between the proposed work and the work of others in the same general area? What is distinctive about the proposed study?
- e. For what audience are the results of the study intended? What form is the proposed study likely to assume?
- f. What is the relationship of the proposed study to the applicant's long-range development as an interpreter of the humanities?
- g. What is the applicant's competence in languages needed for the study?
- h. At what location(s) will the applicant conduct the study, and what materials will be used? What is the likelihood of access to archives, collections, or institutions with resources necessary for the work?
- i. For Fellowships applicants only: If the application is for a part-time fellowship, what is the necessity of holding the fellowship for part-time rather than full-time tenure? For example, what are the job responsibilities that cannot be relinquished? How much released time, between half time and full time, would be devoted to

The applicant should demonstrate the significance of the proposed project. In cases where the subject of the proposed study might seem narrow or obscure, the proposal should show the project's larger significance.

R eference letters should provide important information about the applicants and their proposals, and applicants should select their referees carefully.

the fellowship work? What duties would be relinquished and what duties would be retained during the requested period of fellowship tenure?

#### **Translation Sample**

Applicants submitting translation projects should include a two-page sample. One of the two pages should be from the text to be translated; the other should be the applicant's translation of that page.

#### **Bibliography**

Following the description of the project should be a selected list, which is not to exceed one page, of publications by other scholars and writers or of primary materials and sources that the applicant has used or is planning to use and that have the greatest relevance to the proposed study. This list of readings and materials is vital to the proposal because Endowment reviewers determine from it the amount of work or preparation an applicant has done in the same area, the character of the applicant's interests, and the nature of the approach to the proposed topic.

#### **Reference Letters**

A completed application includes two letters of reference, the forms for which are included in this brochure. The authors of the letters should send them directly to the Endowment. Reference letters should provide important information about the applicants and their proposals, and applicants should select their referees carefully. Most helpful in this regard are letters from persons in a position to discuss specifically the likely results or outcome of the proposed project, its significance to the field and to its intended audience, the general quality of the applicant's work, and the applicant's ability to carry out the project successfully. Ideally, no more than one referee should be from the applicant's own institution.

It is the applicant's responsibility to check the appropriate program at the top of the reference-letter form, to fill in the upper left section of that form, and to send the form, together with a copy of the full proposal, to each referee. It is also the applicant's responsibility to request that referees send letters of reference directly to NEH. Applicants should ask referees to send reference letters as close to the application deadline as possible.

The names, departments, and employing institutions of the two people who will be writing reference letters on the applicant's behalf should be included on a separate sheet at the end of the project description.

#### **Data Cards**

Applicants should complete and return one set of two data cards located on the inside back cover of this packet. Do not send copies.

#### **EQUAL OPPORTUNITY STATEMENT**

Endowment programs do not discriminate on the basis of race, color, national origin, sex, disability, or age. For further information, write to the Equal Opportunity Officer, National Endowment for the Humanities, 1100 Pennsylvania Avenue, N.W., Washington, D.C. 20506. TDD: 202/606-8282 (this is a special Telephone Device for the Deaf).

#### **Compliance with Other Federal Laws**

Applicants should be aware that a number of other federal laws and regulations apply to Endowment-supported projects. Depending on the project, these may include compliance with

- the NEH Code of Ethics governing research, publication, and public programming in projects related to American Indian, Aleut, or native Hawaiian peoples;
- Department of Labor minimum compensation requirements; and
- a Congressional preference for the purchase of American-made equipment and products.

Other requirements may apply, and applicants are encouraged to consult with Endowment officers early in the application process.

The Office of Management and Budget requires federal agencies to supply information on the time needed to complete forms and also to invite comments on the paperwork burden. NEH estimates the average time to complete this application is twelve hours per response. This estimate includes the time for reviewing instructions; researching, gathering, and maintaining the information needed; and completing and reviewing the application. Please send any comments regarding the estimated completion time or any other aspect of this application, including suggestions for reducing the time to complete, to the Director of the Office of Publications and Public Affairs, National Endowment for the Humanities, Washington, D.C. 20506; and to the Office of Management and Budget, Paperwork Reduction Project (3136-0134), Washington, D.C. 20503.

# INSTRUCTIONS FOR COMPLETING THE NEH APPLICATION COVER SHEET

#### Block 1. Individual applicant

Item a. Give the mailing address to which your notification letter should be mailed.

**Item b.** Indicate the number corresponding to your preferred form of address:

1-Mr. 2-Mrs. 3-Miss 4-Ms. 5-Professor 6-Dr.

Item c. Whenever possible, one of the telephone numbers listed should be a number at which a message can be left.

Item d. Use the fields listed on the back of the cover sheet as a guide. Write the code number in the box, for example, M5 for Music. If your field is not listed, indicate the general discipline in which it falls or the closest major field.

Item e. If a foreign national, check "Other" and specify the month and year in which you came most recently to reside in the United States.

#### Block 2. Type of applicant

Prechecked. Only individuals may apply for NEH Fellowships and Summer Stipends.

#### Block 3. Type of application

Prechecked. Each NEH Fellowships and Summer Stipends application is new.

**Block 4.** Award for which application is being made. Check the award for which the application is being submitted. If applying under the Endowment's initiative, which is described on page 3 of this booklet, indicate the code number of the initiative:

03P--National Conversation: American Pluralism

#### Block 5. Requested grant period

For Fellowships applicants: see page 9 for details on permissible length and dates of tenure of part-time and full-time tenure periods.

For Summer Stipends applicants: see page 12 for details on permissible length and dates of full-time tenure.

When awards are offered, successful applicants will be permitted to request changing the dates of tenure, but the total amount of time cannot be changed.

#### Block 6. Project funding

Do not fill in this item. The information on which Fellowships awards are based will be requested from successful applicants when they are notified of the Endowment's decision on their proposals. Summer Stipends carry an award of \$4,000.

#### Block 7. Field of project

From the fields listed on the back of the cover sheet, write in the code number of the field closest to that of the proposed program of study and research, for example, A3 for American History.

#### Block 8. Descriptive title of project

Provide a straightforward title that clearly identifies the project and its humanities content. This title should be informative to a nonspecialist and should not exceed 120 characters in length, including punctuation and spaces. The Endowment is obliged to be as clear as possible to the public about awards it makes. The descriptive title will be used for this purpose whenever possible, but Endowment staff may assign a different working title to the project.

#### Block 9. Description of project

Summarize the points that are developed in the full, appended proposal. Do not merely refer to it. The summary is very important because it indicates your command of the project and, in particular, your understanding of the project's significance. The summary must be limited to the space provided.

### Block 10. If you plan to travel during the tenure of an NEH award, where will you go?

For projects that will involve travel outside the United States during tenure, list the country or countries. For travel within the United States, list the state(s) and/or jurisdiction(s). If you plan to travel to more than one destination, list first the destination most important for the project.

#### Block 11. Institutional data

Indicate the name and location of the institution or organization with which you are affiliated as of the application deadline. If not so affiliated, please enter "independent scholar."

#### Block 12a. Summer Stipends applicants only

**Nomination Status:** If you are being nominated by an academic institution, please check either **Junior nominee** or **Senior nominee**. For purposes of the application, junior scholars hold the rank of instructor or assistant professor in colleges or universities. Senior scholars are associate professors or full professors. If you are not on the faculty of an academic institution, or if you are a faculty member whose appointment will terminate at the end of the 1996-97 academic year, please check **Exempt**. Do not check more than one category.

Privacy Act. This information is solicited under the authority of the National Foundation on the Arts and Humanities Act of 1965, as amended, 20 U.S.C. 956. The principal purpose for which the information will be used is to process the grant application. The information may also be used for statistical research, analysis of trends, and Congressional oversight. Failure to provide the information may result in the delay or rejection of the application.

#### Block 12b. Fellowships applicants only

Status of applicant: Indicate your status as either a *junior scholar* or *senior scholar*. For purposes of the application, junior scholars hold the rank of instructor or assistant professor in colleges or universities or are independent scholars who are at comparably early stages of their careers. Senior scholars are associate professors or full professors or they are independent scholars who are at comparably later stages of their careers.

Tenure requested: Request full-time or part-time tenure.

#### Block 13. Certification

The Endowment is required to obtain from all applicants certifications regarding federal debt status, debarment and suspension, and a drug-free workplace. These certifications and the accompanying instructions found in the appendix of this brochure should be read carefully before the application cover sheet is signed because most of these certifications impose new restrictions on successful applicants.

#### Block 14. Summer Stipends applicants only: Nominating official

Applicants nominated by colleges and universities must be endorsed by the officer authorized to submit Summer Stipends nominations, usually an academic vice president or dean. This official's name and title must be typed or printed on the line provided followed by the nominating official's signature and the date of signature. Under separate cover, this official should send to Summer Stipends a letter specifying the institution's nominees.

# NATIONAL ENDOWMENT FOR THE HUMANITIES

## FELLOWSHIPS AND SUMMER STIPENDS APPLICATION COVER SHEET

OMB No. 3136-0134 Expires: 7/31/97

<ul><li>Individual applicant</li><li>a. Name and mailing address</li></ul>	3S:		Type of applicant  a. x by an individual  If a, indicate an institutional	bthrough an organization/institution al affiliation, if applicable, in block 11.
(last)	(first)	(initial)	Type of application a. X new	bsupplement
	(street)		4 Award for which applic	ration is being made ersity Teachers - 6111
(city) <b>b.</b> Form of address:	(state)	(ZIP)	Independent Sci Summer Stipends - 6 Endowment Initiative (co	holars - 6112 6211
c. Telephone numbers		- A	5 Requested grant period	
Office: /	Home:	/ ode)	From: (month/year)	To: (month/year)
FAX: /	E-Mail:		6 Project funding	
(area code)			a. Outright funds	\$
d. Major field of applicant or	r project director:		b. Federal match c. Total from NEH	\$
e. Citizenship: U.S.		(code)	d. Cost Sharing	\$
Other:			e. Total project costs	\$
7 Field of project	(country) 8 Des	(month/year) scriptive title of proje		
r leid of project	o Des	criptive title of proje	:CL	
	(do not exceed spac			
10 If you plan to travel d		an NEH award, when	re will you go? See instructio	ons for the cover sheet.
10 If you plan to travel d			e will you go? See instructio	
11 Institutional data				s only
Institutional data  name of institution or organization)			12b Fellowships applicant Status:	s only
Institutional data  name of institution or organization)  city)  12a Summer Stipends ap	uring the tenure of		Fellowships applicant Status:Junior applicant Tenure requested:	s only
Institutional data  name of institution or organization)  city)  12a Summer Stipends apJunior nominee  13 Certification. By signin	(state)  oplicants only Senior nominee	(ZIP)Exempt pplication, the applicant is	Status:Junior applicant  Tenure requested:Full-time	s onlySenior applicant Part-time
Institutional data  Iname of institution or organization)  Icity)  12a Summer Stipends ap Junior nominee	(state)  oplicants only Senior nominee	(ZIP)Exempt pplication, the applicant is	Status:Junior applicant  Tenure requested:Full-time	s onlySenior applicant
Institutional data  name of institution or organization)  city)  12a Summer Stipends apJunior nominee  13 Certification. By signin and suspension, and a drug-free printed name)	(state)  oplicants only  Senior nominee  ng and submitting this ap e workplace as set forth	Exempt  pplication, the applicant is the in the appendix to these (si	Status:Junior applicant  Tenure requested:Full-time  providing the applicable certification in guidelines.	s onlySenior applicant Part-time
Institutional data  name of institution or organization)  city)  12a Summer Stipends apJunior nominee  13 Certification. By signin and suspension, and a drug-free printed name)	(state)  pplicants only Senior nominee ag and submitting this ape workplace as set forth	(ZIP)Exempt pplication, the applicant is h in the appendix to these comment of up to five years, or both	Status:Junior applicant  Tenure requested:Full-time  providing the applicable certification in guidelines.	s only Senior applicant  Part-time  ons regarding federal debt status, debarment  /
Institutional data  name of institution or organization)  city)  12a Summer Stipends apJunior nominee  Junior nominee  13 Certification. By signin and suspension, and a drug-free  printed name)  NOTE: Federal law provides criminal pena	(state)  pplicants only Senior nominee ag and submitting this ape workplace as set forth	(ZIP)Exempt pplication, the applicant is h in the appendix to these comment of up to five years, or both	Status:Junior applicant  Tenure requested:Full-time  providing the applicable certification in guidelines.	s only Senior applicant  Part-time  ons regarding federal debt status, debarme
Institutional data  name of institution or organization)  city)  12a Summer Stipends apJunior nominee Junior nominee  13 Certification. By signin and suspension, and a drug-free (printed name)  NOTE: Federal law provides criminal pena	(state)  pplicants only Senior nominee ag and submitting this ape workplace as set forth	(ZIP)Exempt  oplication, the applicant is h in the appendix to these points are the comment of up to five years, or both nating official	Status:Junior applicant  Tenure requested:Full-time  providing the applicable certification in guidelines.	s only Senior applicant  Part-time  ons regarding federal debt status, debarme  /

#### Field of Project Categories and Codes

The following categories and codes should be used to complete blocks 1d and 7 of the NEH Application Cover Sheet. If no exactly appropriate category is provided, please select the larger category that would include the more precise one. (This listing is strictly for use by the NEH staff to help retrieve information about applications and grants by subject matter field. The listing is not comprehensive and is not meant to define the disciplines of the humanities. For that definition and advice about eligibility for Endowment awards, please consult elsewhere in these application materials. The hierarchical arrangement is for convenience.)

Anthropology	L1	Languages	C1
Archaeology	<b>U6</b>	Ancient	CC
Anabirral Managa		Asian	CA
Archival Manage- ment/ Conservation	¥1	Classical	C2
ment/ Conservation	I1	Comparative	C9
Arts/History and Criticism	MA	English French	CE
Architecture: History &	NIA	German	C3 C4
Criticism	U3	Italian	C4 C5
Art: History & Criticism	M1	Latin American	C6
Dance:History & Criticism	M3	Near Eastern	CB
Film:History & Criticism	M4	Slavic	C7
Music:History & Criticism	M5	Spanish	C8
Theater: History & Criticism	M2	opanion.	CO
•		Law/Jurisprudence	Q1
Communications	<b>P2</b>	, <b>,</b>	χ
Composition & Rhetoric	P1	Library Science	Н3
Journalism	P4	•	
Media	P3	Linguistics	J1
			•
Education	H1	Literature	D1
		African	DK
Ethnic Studies	K1	American	DE
Asian American	K5	Ancient	DC
Black/African-American	K4	Asian	DA
Hispanic American	К3	British	DD
Jewish	К6	Classical	D2
Native American	K2	Comparative	D9
•••		French	<b>D3</b>
History	A1	German	<b>D4</b>
African	A2	Italian	D5
American	A3	Latin American	D6
Ancient	AC	Literary Criticism	DI
British Classical	A4	Near Eastern	DB
European	A5 A6	Slavic	D7
Far Eastern	A0 A7	Spanish	<b>D8</b>
Latin American	A8	Museum Studies/Historic	
Near Eastern	A9	Preservation	12
Russian	AA	riescivation	12
South Asian	AB	Philosophy	B1
	1113	Aesthetics	B2
Humanities	U8	Epistemology	B3
	00	Ethics	B4
Interdisciplinary	U1	History of Philosophy	B5
African Studies	GI	Logic	B6
American Studies	G3	Metaphysics	B7
Area Studies	GH	Non-Western Philosophy	B8
Asian Studies	G5	rien western rimesephy	20
Classics	G7	Religion	E1
Folklore/Folklife	R1	Comparative Religion	E5
History/Philosophy of Science,		History of Religion	E2
Technology, or Medicine	GA	Non-Western Religion	<b>E4</b>
International Studies	GG	Philosophy of Religion	<b>E3</b>
Labor Studies	<b>G4</b>	1 / 3	
Latin American Studies	GJ	Social Science	U2
Medieval Studies	G8	American Government	F2
Regional Studies	GF	Economics	N1
Renaissance Studies	G9	Geography	<b>U7</b>
Rural Studies	GC	International Relations	F3
Urban Studies	G2	Political Science	F1
Western Civilization	GB	Psychology	U5
Women's Studies	G1	Public Administration	<b>F4</b>
		Sociology	<b>S1</b>

Reference Letter Form NEH FELLOWSHIPS AND SUMMER STIPENDS	
	Ceachers - Deadline of May 1, 1996 chers and Independent Scholars - Deadline of May 1, 1996 c of October 1, 1996
To Be Completed by the Applicant	■ To Be Completed by the Referee
Name of Applicant:	Name of Referee:
Employing Institution:	Department:
Project Title:	Employing Institution:
	Signature:

Referee's comments. (See the back of this page for guidelines and additional writing space.)

Date:

#### **GUIDELINES FOR REFEREES**

The person identified as "applicant" on the reverse of this sheet is seeking a Fellowship or Summer Stipend from the National Endowment for the Humanities. The Endowment would appreciate receiving from you an assessment of the applicant and the proposed project. NEH Fellowships and Summer Stipends support projects of compelling quality and importance, and applicants must demonstrate that their projects will make significant contributions to the humanities. You should judge the application according to the following criteria:

- 1. the significance of the contribution that the proposed project will make to thought and knowledge in the field of the project and to the humanities generally;
- 2. the quality or the promise of quality of the applicant's work as an interpreter of the humanities;
- 3. the quality of the conception, definition, organization, and description of the proposed project; and

4. the likelihood that the applicant will complete the entire project.

If you prefer to use standard 8 1/2" by 11" paper rather than this form, please indicate clearly on each sheet the full name of the applicant and the program to which the application has been submitted, and sign the letter.

It is important to the applicant that your letter reach the Endowment as close as possible to the application deadline. The NEH Fellowships application deadline is May 1, 1996. The NEH Summer Stipends application deadline is October 1, 1996. Please send the letter directly to the

NEH Fellowships and Summer Stipends Room 318 National Endowment for the Humanities 1100 Pennsylvania Avenue, N.W. Washington, D.C. 20506

The National Endowment for the Humanities will keep the identity of authors and the content of reference letters confidential to the extent permitted by law.

Referee's comments (continued).

	e Letter Form
NEH FEL	LOWSHIPS AND SUMMER STIPENDS
Program:	Fellowships for University Teachers - Deadline of May

OMB No. 3136-0134 Expires: 7/31/97

Fellowships for Coll	versity Teachers - Deadline of May 1, 1996 lege Teachers and Independent Scholars - Deadline of May 1, 1996 Deadline of October 1, 1996
To Be Completed by the Applicant	■ To Be Completed by the Referee
Name of Applicant:	Name of Referee:
Employing Institution:	Department:
Project Title:	Employing Institution:
	Signature:
	Date:
<b>Referee's comments.</b> (See the back of the	his page for guidelines and additional writing space.)

#### **GUIDELINES FOR REFEREES**

The person identified as "applicant" on the reverse of this sheet is seeking a Fellowship or Summer Stipend from the National Endowment for the Humanities. The Endowment would appreciate receiving from you an assessment of the applicant and the proposed project. NEH Fellowships and Summer Stipends support projects of compelling quality and importance, and applicants must demonstrate that their projects will make significant contributions to the humanities. You should judge the application according to the following criteria:

- 1. the significance of the contribution that the proposed project will make to thought and knowledge in the field of the project and to the humanities generally;
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- 3. the quality of the conception, definition, organization, and description of the proposed project; and

4. the likelihood that the applicant will complete the entire project.

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NEH Fellowships and Summer Stipends Room 318 National Endowment for the Humanities 1100 Pennsylvania Avenue, N.W. Washington, D.C. 20506

The National Endowment for the Humanities will keep the identity of authors and the content of reference letters confidential to the extent permitted by law.

Referee's comments (continued).

#### **APPENDIX**

#### Instructions for Certification

- 1. The Endowment is required to seek certifications from applicants who are individuals regarding federal debt status, debarment and suspension, and the requirements of the Drug-Free Workplace Act of 1988. By signing and submitting this proposal, the applicant provides these certifications.
- 2. If a prospective applicant is unable to provide the certification required by the Drug-Free Workplace Act, he or she is not eligible for funding from the Endowment. If a person is unable to provide the certification regarding federal debt status or debarment and suspension, an explanation shall be submitted to NEH. The explanation of why the certification on federal debt status or debarment and suspension cannot be submitted will be considered in connection with the Endowment's funding determination. Failure to furnish a certification or an explanation shall disqualify the applicant from receiving an award from the Endowment.
- 3. The certifications are material representations of fact on which reliance will be placed when the Endowment determines to fund the application. If it is later determined that the applicant knowingly provided an erroneous certification, in addition to other remedies available to the federal government, the Endowment may terminate the award for cause or default or, when applicable, may take action authorized under the Drug-Free Workplace Act.
- 4. The applicant shall provide immediate written notice to the Endowment if at any time he or she learns that the certifications were erroneous when submitted or have become erroneous by reason of changed circumstances.
  - 5. The following definitions apply to the terms used in the certifications.

#### **Definitions**

Controlled Substance: A controlled substance in schedules I through V of Section 202 of the Controlled Substance Act (21 U.S.C. 812), and as further defined by regulation at 21 CFR 1300.11 - 1300.15.

**Debarment**: An action taken by a debarring official in accordance with 45 CFR Part 1169 to exclude a person from participating in covered transactions. A person so excluded is "debarred."

Delinquent: Represents the failure to pay an obligation or debt by the date specified in the agency's initial written notification or applicable contractual agreement, unless other satisfactory payment arrangements have been made by that date, or if at any time thereafter, the debtor fails to satisfy the obligation under a payment agreement with the agency. If the debtor fails to pay the debt by the specified date, then the debt is delinquent and the "date of delinquency" is the date given as the payment due date for contractual agreements or the date notification of the debt was mailed, as appropriate.

Federal Debt: The amount of money or property that has been determined by an appropriate agency official to be owed to the United States by any person, organization, or entity. Examples of debts include delinquent taxes, audit disallowances, guaranteed and direct student loans, housing loans, farm loans, business loans, Department of Education institutional loans, benefit overpayments, and other miscellaneous administrative debts.

**Grantee**: (in the drug-free workplace certification) A person who applies for or receives a grant directly from a federal agency.

**Ineligible**: Excluded from participation in federal nonprocurement programs pursuant to a determination of ineligibility under statutory, executive order, or regulatory authority, other than Executive Order 12549.

Primary Covered Transaction: This is normally any nonprocurement transaction between an agency and a person, regardless of type, including grants, cooperative agreements, scholarships, fellowships, contracts of assistance, loans, loan guarantees, subsidies, insurance, payments for specified use, donation agreements, and any other nonprocurement transaction between a federal agency and a person.

**Proposal**: A solicited or unsolicited bid, application, request, invitation to consider or similar communication by or on behalf of a person seeking to participate or to receive benefit, directly or indirectly, in or under a covered transaction.

Suspension: An action taken by a suspending official in accordance with these regulations that immediately excludes a person from participating in covered transactions for a temporary period, pending completion of an investigation and such legal, debarment, or Program Fraud Civil Remedies Act proceedings as may ensue.

#### Certifications

- 1. Certification Regarding Federal Debt Status (OMB Circular A-129). The applicant certifies to the best of its knowledge and belief that he or she is not delinquent in the repayment of any federal debt.
- 2. Certification Regarding Debarment and Suspension (45 CFR 1169). The prospective primary participant (applicant) certifies to the best of its knowledge and belief that it and its principals: (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency; (b) have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property; (c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and (d) have not within a three-year period preceding this application/proposal had one or more public transactions (federal, state, or local) terminated for cause or default.
- 3. Certification Regarding Drug-Free Workplace Requirements (Drug-Free Workplace Act of 1988). The grantee certifies that he or she will not engage in the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance in conducting any activity with the grant.

CARD #2

	Fellowships for University Teachers Fellowships for College Teachers and Independent Scholars Summer Stipends	Salary information, fellowships applicants only:  For teachers, 1996-97 academic year salary:  For persons who are not teachers,  1996 calendar year salary:  \$
-	Applicant's name (last, first, middle initial)	If information for 1996-97 or 1996 is not yet available, give the salary for the previous year.
	Mailing address	■ Descriptive title of project (same as on cover sheet)  ■ Telephone numbers (with area codes)  Office: Home:
		E-mail:
-	For persons at colleges and universities  Academic rank:	■ References (names, departments, and institutions)
	Professor Instructor	1
	☐ Associate Professor ☐ Lecturer or Other	
	☐ Assistant Professor ☐ No Academic Rank	2
	Does your department offer the Ph.D?  Yes No	2.

Complete all blanks as follows:

- 1. Name: last, first, middle initial;
- 2. **Institutional affiliation:** institution's name, city, and state; and
- 3. **Descriptive title of project** as in Block 8 of the application cover sheet.

1.	Applicant's name: last, first, middle	initial
2.	Institution	1×
<del></del> 3.	Descriptive title of project	

National Endowment for the Humanities Division of Research and Education Programs 1100 Pennsylvania Avenue, N.W. Washington, D.C. 20506